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Glenn Patent Group  
3475 Edison Way, Suite L  
Menlo Park CA 94025  
Tel: 650 474 8400  
Fax 650 474 8401  
Customer Number 22.862

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Date of Deposit:	8/30/2004	Name of Person Making the Deposit:	Rhonda Dunn	Signature of the Person Making the Deposit:
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**In re Application of:** Allamanche, et al.

**Docket No.:** SCHO0064

**Serial No. :** 09/914,371

**Art Unit:** 2131

**Filed:** 4/20/2004

**Examiner:** Unknown

**Title:** Device and Method for Producing an Encoded Audio and/or Video Data Stream

**Date:** August 30, 2004

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Mail Stop IDS

**Attached to this coversheet please find the following documents:**

IDS Transmittal (1 sheet, induplicate for accounting purposes);  
Information Disclosure Statement (2 pages);  
1449 form (2 pages);  
Cited references; and  
Return Postcard



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Date of Deposit: August 30, 2004	Name of Person Making the Deposit: Rhonda Dunn	Signature of the Person Making the Deposit: <i>Rhonda Dunn</i>
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allamanche et al.

Docket No.: SCH0064

Serial No. : 09/914,371

Art Unit: 2131

Filed: 4/20/2004

Examiner: Unassigned

Title: Device And Method For Producing An Encoded Audio And/Or Video Data Stream

August 30, 2004

Assistant Commissioner for Patents  
Mail Stop IDS  
P.O. Box 1450  
Alexandria, VA 22313-1450

### IDS TRANSMITTAL

Dear Sir:

Enclosed for the above-referenced patent are the following:

1. Information Disclosure Statement;
2. Form 1449 - includes the cited Japanese references and English translations; and
3. Return Postcard

Applicant believes there are no fees due with this submission, however, should any fees be required, the Commissioner is authorized to charge any fees, or credit any overpayments, to Deposit Account No. 07-1445 (Order No. SCH0064). A copy is enclosed for this purpose.

Respectfully submitted,

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



Express Mail Label EV 378720516 US Date of Deposit: August 30, 2004

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Date of Deposit: August 30, 2004	Name of Person Making the Deposit: Rhonda Dunn	Signature of the Person Making the Deposit: <i>Rhonda Dunn</i>
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allamanche, et al.

Docket No.: SCHO0064

Serial No. : 09/914,371

Art Unit: 2131

Filed: 4/20/2004

Examiner: Unknown

Title: Device and Method for Producing an Encoded Audio and/or Video Data Stream

Date: August 30, 2004

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Mail Stop IDS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:  
( ) Certification under 37 CFR 1.97(e), or  
( ) a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:  
( ) Certification under 37 CFR 1.97(e), and  
( ) a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and  
( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ( X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SCHO0064). A copy of this sheet is enclosed for accounting purposes.

Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

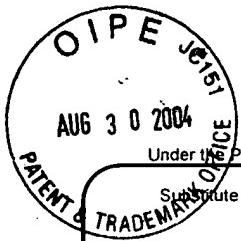
A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

  
Michael A. Glenn  
Reg. 30,176

Customer No. 22862



AUG 30 2004

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~~Substitute for form 1449/PTO~~

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

<b><i>Complete if Known</i></b>	
Application Number	09/914,371
Filing Date	4/20/2004
First Named Inventor	Allamanche, et al.
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	SCHO0064

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

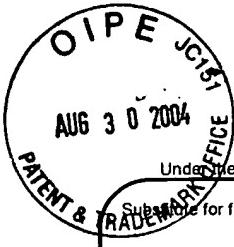
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	C	JP 10-135944	22/05/1998	Kiyouya		✓
	D	JP 63-033027	12/02/1988	Takeshi		✓
	E	WO 97/39552	23/10/1997	Davis		✓
	F	JP10-320191	04/12/1998	Harrison		✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number SCHO0064

**Complete if Known**

Application Number	09/914,371
Filing Date	4/20/2004
First Named Inventor	Allamanche, et al.
Art Unit	2131
Examiner Name	Unknown

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	"A New Approach to Digital Scrambling of Image Signals"; IEICE Technical Report; Vol. 90, No. 364, pages 1-7; December 28, 1997	
	B	"Image Data Encryption Suitable for Commercial TV Programs"; IEICE Technical Report; Vol. 95, No. 592, pages 19-24; March 18, 1996	✓

Examiner Signature	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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